

# On Lattices for Cryptography

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# Outline

- **Post-quantum Cryptography**
  - Conventional Cryptography
  - Quantum Computing
  - Post-quantum Cryptography
- **Lattices**
- **Lattice-based cryptography**
- **Aspects of algebraic number theory**
- **Choosing lattice parameters**

# Post-quantum Cryptography

## Conventional Cryptography

**Cryptography** consists in protocols and algorithms for providing

- ▶ integrity;
- ▶ confidentiality;
- ▶ authenticity; and
- ▶ non-repudiation.

## Post-quantum Cryptography

# Conventional Cryptography

**Cryptography** consists in protocols and algorithms for providing

- ▶ integrity;
- ▶ confidentiality;
- ▶ authenticity; and
- ▶ non-repudiation.

These properties can be obtained by adopting a combination of encryption schemes, key-encapsulation mechanisms, digital signatures, key-exchange protocols, and hash functions.

**Keywords:** TLS protocol, RSA, ECDSA, SHA-2, AES.

# Post-quantum Cryptography

# Quantum Computing, Bristlecone

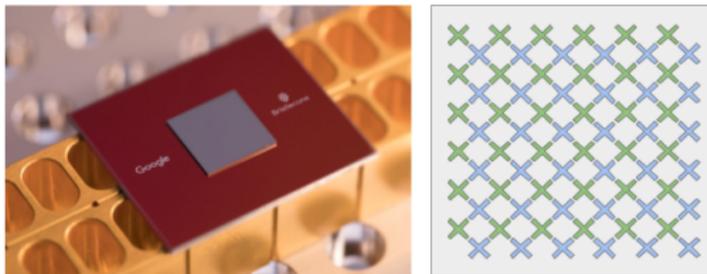
## A Preview of Bristlecone, Google's New Quantum Processor

Monday, March 05, 2018

Posted by Julian Kelly, Research Scientist, Quantum AI Lab

The goal of the [Google Quantum AI lab](#) is to build a quantum computer that can be used to solve real-world problems. Our strategy is to explore near-term applications using systems that are forward compatible to a large-scale universal error-corrected quantum computer. In order for a quantum processor to be able to run algorithms beyond the scope of classical simulations, it requires not only a large number of qubits. Crucially, the processor must also have low error rates on readout and logical operations, such as single and two-qubit gates.

Today we presented Bristlecone, our new quantum processor, at the annual [American Physical Society](#) meeting in Los Angeles. The purpose of this gate-based superconducting system is to provide a testbed for research into system error rates and scalability of [our qubit technology](#), as well as applications in quantum [simulation](#), [optimization](#), and [machine learning](#).



Bristlecone is Google's newest quantum processor (left). On the right is a cartoon of the device: each "X" represents a qubit, with nearest neighbor connectivity.

**Figure 1:** New Google's quantum computer with 72 qubits.

## Post-quantum Cryptography

# Quantum Computing

Quantum computers are an imminent threat to **public-key cryptography**.

Shor's quantum algorithm can be used to solve integer factorization and discrete logarithm problems [Sho97]. It implies the end of RSA- and ECC-based cryptographic schemes.

# Post-quantum Cryptography

## Quantum Computing

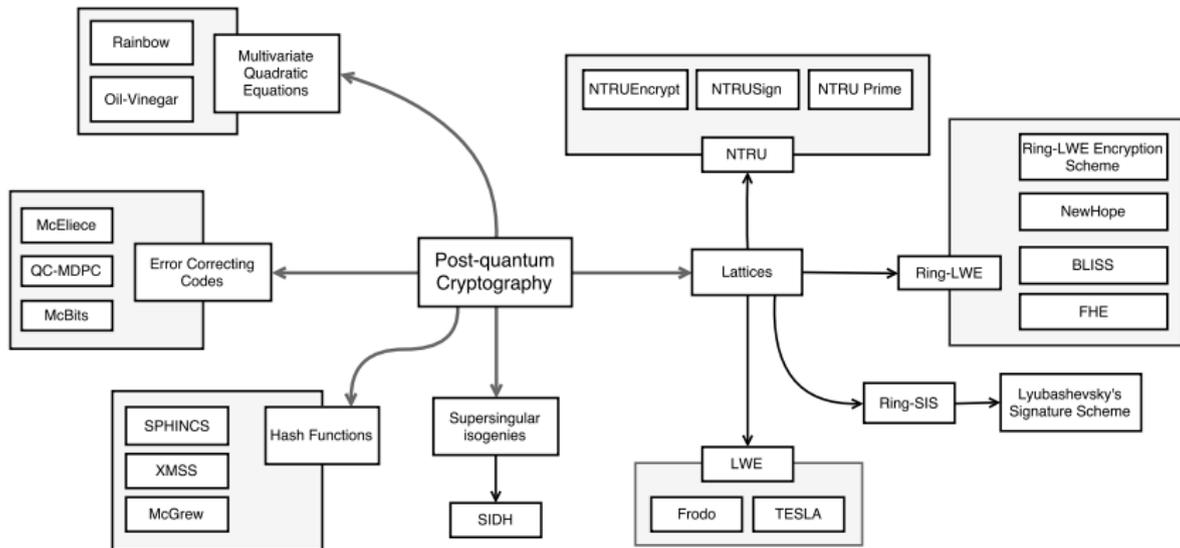
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**Problem:** A large amount of past and present personal data unprotected from *future* quantum computational power.

# Post-quantum Cryptography

## Post-quantum Cryptography



Classes of **hard computational problems** that support new cryptographic primitives for which efficient quantum algorithms are still **unknown**.

# Post-quantum Cryptography

## NIST's Call for Post-quantum Standards

NIST

[Information Technology Laboratory](#)

COMPUTER SECURITY RESOURCE CENTER

PROJECTS

POST-QUANTUM CRYPTOGRAPHY

## Post-Quantum Cryptography



### Post-Quantum Cryptography Standardization

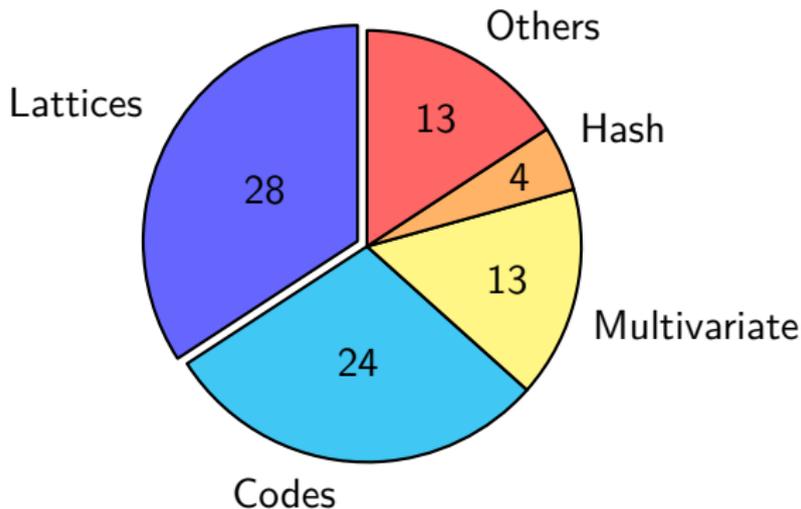
*The submission deadline of November 30, 2017 has passed. Please see the [Round 1 Submissions](#) for the listing of complete and proper submissions.*

#### Call for Proposals Announcement

NIST has initiated a process to solicit, evaluate, and standardize one or more quantum-resistant public-key cryptographic algorithms. Currently, public-key cryptographic algorithms are specified in FIPS 186-4, *Digital Signature Standard*, as well as special publications SP 800-56A Revision 2, *Recommendation for Pair-Wise Key Establishment Schemes Using Discrete Logarithm Cryptography* and SP 800-56B Revision 1, *Recommendation for Pair-Wise Key Establishment Schemes Using Integer Factorization Cryptography*. However, these algorithms are vulnerable to attacks from large-scale quantum computers (see NISTIR 8105, *Report on Post Quantum Cryptography*).

## Post-quantum Cryptography

# Post-quantum Submissions



- ▶ Submissions include encryption schemes, digital signatures, and key-encapsulation mechanisms.
- ▶ Lattice-based cryptography already provides a whole **framework** of cryptographic primitives!

## Definition of lattice

Let  $\mathbf{B} = \{b_1, \dots, b_m\} \subset \mathbb{R}^n$  be a set of  $m$  linearly independent vectors,  $m \leq n$ . The set

$$\Lambda = \Lambda(\mathbf{B}) = \left\{ \sum_{i=1}^m x_i b_i : x_i \in \mathbb{Z} \right\}$$

is called *lattice* of rank  $m$  in  $\mathbb{R}^n$ .

If  $n = m$ , the lattice  $\Lambda(\mathbf{B})$  is called a full-rank lattice.

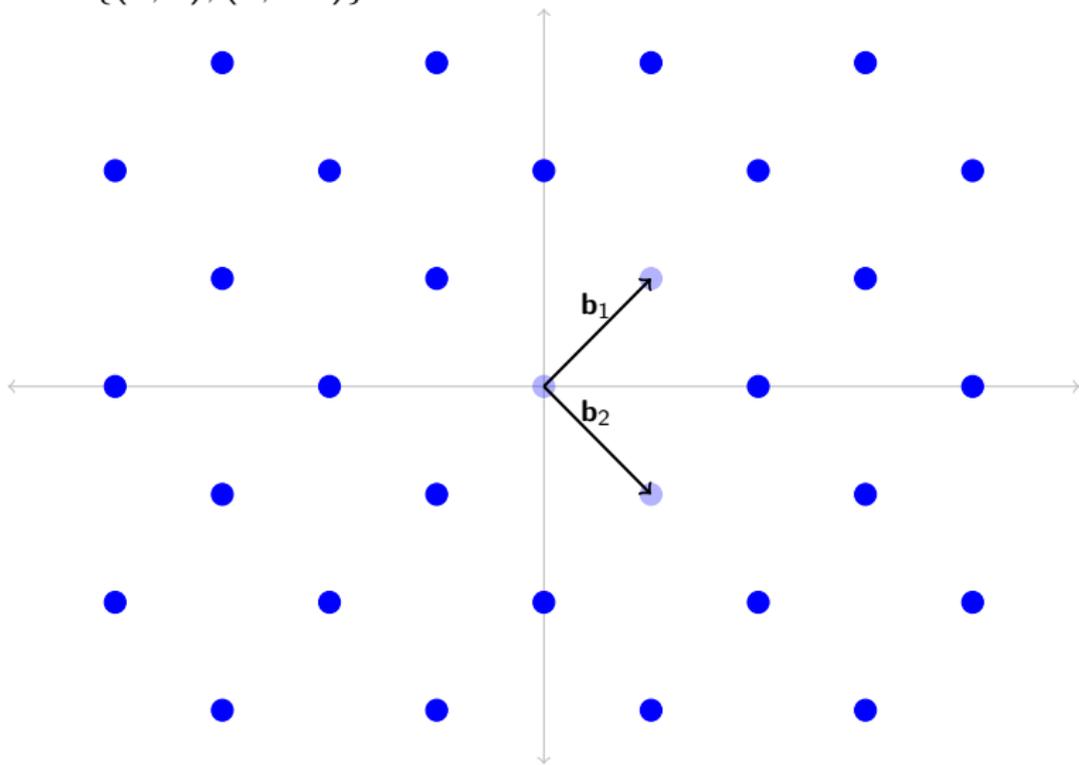
**Remark 1:** A lattice is an additive discrete subgroup of  $\mathbb{R}^n$ .

**Remark 2:** In this work we consider only full-rank lattices.

## Lattices

### Example in $\mathbb{R}^2$

Example of the full-rank lattice  $\Lambda(\mathbf{B}) \subset \mathbb{R}^2$  with basis  $\mathbf{B} = \{(1, 1), (1, -1)\}$ .



## Some computational problem over lattices

Consider  $\Lambda = \Lambda(\mathbf{B}) \subset \mathbb{R}^n$  a full-rank lattice and  $\gamma = \gamma(n) \geq 1$  a real number which grows as a function of  $n$ , called *approximation factor*.

- ▶ **Shortest Vector Problem (SVP):** Find  $\mathbf{c} \in \Lambda$  such that  $\|\mathbf{c}\| = \lambda_1(\Lambda)$ , where  $\lambda_1(\Lambda) := \min_{\mathbf{0} \neq \mathbf{v} \in \Lambda} \|\mathbf{v}\|$  is called the *minimum distance* of  $\Lambda$ .
- ▶ **Approximate SVP ( $\text{SVP}_\gamma$ ):** Find  $\mathbf{c} \neq \mathbf{0}$  in  $\Lambda$  such that  $\|\mathbf{c}\| \leq \gamma(n)\lambda_1(\Lambda)$ .
- ▶ **Bounded Distance Decoding Problem ( $\text{BDD}_\gamma$ ):** if  $\mathbf{t} \in \mathbb{R}^n$  is a target point such that  $\|\mathbf{t} - \mathbf{v}\| < \lambda_1(\Lambda)/(2\gamma(n))$ , for all  $\mathbf{v} \in \Lambda$ , the  $\text{BDD}_\gamma$  consists in finding the unique  $\mathbf{c} \in \Lambda$  such that  $\|\mathbf{t} - \mathbf{c}\| < \lambda_1(\Lambda)/(2\gamma(n))$ .

In general, these problems are very hard.

# Foundations of Lattice-based Cryptography

**Short Integer Solution [Ajt96].** Given  $m$  uniformly random vectors  $\mathbf{a}_i \in \mathbb{Z}_q^n$ , the SIS problem to find a nontrivial vector  $\mathbf{z} = (z_1, \dots, z_m) \in \mathbb{Z}^m$  of norm  $\|\mathbf{z}\| \leq \beta$  such that

$\sum_{i=1}^m \mathbf{a}_i \cdot z_i = \mathbf{0} \in \mathbb{Z}_q^n$ , for  $\beta$  being a positive real, and  $n, q$  positive integer numbers.

**Learning with Errors [Reg05].** The LWE problem defines a distribution over  $\mathbb{Z}_q^n \times \mathbb{Z}_q$ , where the samples are of the form  $(\mathbf{a}, b = \langle \mathbf{s}, \mathbf{a} \rangle + e \pmod{q})$ , for  $\mathbf{s} \in \mathbb{Z}_q^n$  a fixed element called the *secret*,  $\mathbf{a} \in \mathbb{Z}_q^n$  a uniformly random element, and  $e \in \psi$  sampled from an error distribution  $\psi$  ( $q$  and  $n$  as in SIS problem).

*Search version of LWE problem* consists to find  $\mathbf{s}$  given  $m$  independent samples  $(\mathbf{a}_i, b_i) \in \mathbb{Z}_q^n \times \mathbb{Z}_q$  drawn from the LWE distribution for a uniformly random secret  $\mathbf{s}$ .

## Aspects of algebraic number theory

# Number fields and ring of integers

A field  $\mathbb{K}$  is said to be a **number field** if

$$\mathbb{K} \simeq \frac{\mathbb{Q}[x]}{\langle f(x) \rangle}$$

where  $f(x) \in \mathbb{Q}[x]$  is a monic irreducible polynomial. The degree of  $f(x)$  is called the **degree** of  $\mathbb{K}$ .

The set

$$R = \mathcal{O}_{\mathbb{K}} = \{a \in \mathbb{K} : \exists g(x) \in \mathbb{Z}[x] \text{ s.t. } g(a) = 0\}$$

is a ring called the **ring of integers** of  $\mathbb{K}$ .

The number field  $\mathbb{K}$  of degree  $n$  is said to be **totally complex** if there exists exactly  $n$  monomorphisms  $\sigma_i : \mathbb{K} \rightarrow \mathbb{R}$  ( $1 \leq i \leq n$ ), where  $\sigma_{i+n/2} = \overline{\sigma_i}$  for  $1 \leq i \leq n/2$ .

From now on, suppose that  $\mathbb{K}$  is a totally complex number field.

The map  $\sigma : \mathbb{K} \rightarrow \mathbb{R}^n$  defined as

$$\sigma(a) = \left( \Re(\sigma_1(a)), \Im(\sigma_1(a)), \dots, \Re(\sigma_{n/2}(a)), \Im(\sigma_{n/2}(a)) \right)$$

is known as **canonical embedding**.

If  $\alpha \in R = \mathcal{O}_{\mathbb{K}}$  satisfies  $a_i := \sigma_i(\alpha) \in \mathbb{R}_{>0}$ ,  $\alpha$  is called *totally positive* and we define the map  $\sigma_\alpha : \mathbb{K} \rightarrow \mathbb{R}^n$  as

$$\sigma_\alpha(a) = \left( \sqrt{2a_1} \Re(\sigma_1(a)), \sqrt{2a_1} \Im(\sigma_1(a)), \dots, \sqrt{2a_{n/2}} \Re(\sigma_{n/2}(a)), \sqrt{2a_{n/2}} \Im(\sigma_{n/2}(a)) \right)$$

is called **twisted embedding**.

If  $I$  is an ideal of  $R$  then  $\sigma(I)$  and  $\sigma_\alpha(I)$  are full-rank lattices in  $\mathbb{R}^n$ .

## Lattice-based cryptography

# Learning with Errors over Rings

Consider  $J^\vee = \{a \in \mathbb{K} : \text{Tr}_{\mathbb{K}/\mathbb{Q}}(a) \in \mathbb{Z}\}$  the *dual* of an ideal  $J \subset R$ ,  $R_q = R/qR$ , where  $q \geq 2$  is an integer number,  $\mathbb{K}_{\mathbb{R}} = \mathbb{K} \otimes_{\mathbb{Q}} \mathbb{R}$  and  $\mathbb{T} = \mathbb{K}_{\mathbb{R}}/R^\vee$ .

### Learning with Errors over rings (Ring-LWE) [LPR10]

The *distribution Ring-LWE* outputs samples of the form

$$(a, b = (a \cdot s)/q + e \pmod{R_q^\vee}) \in R_q \times \mathbb{T},$$

for the secret  $s \in R_q^\vee$ , where  $a \leftarrow R_q$  is uniformly randomized and  $e \leftarrow \psi$ , where  $\psi$  is an error distribution over  $\mathbb{K}_{\mathbb{R}}$ .

Ring-LWE search version: for a family of distributions  $\Psi$  over  $\mathbb{K}_{\mathbb{R}}$ , it consists to the secret  $s$  given arbitrary many independent samples from the Ring-LWE distribution, for some arbitrary  $s \in R_q^\vee$  and  $\psi \in \Psi$ .

## Choosing lattice parameters

# Twisted Ring-LWE

In usual Ring-LWE, the error  $e$  is randomized as an inverse image of  $\tilde{e} \in \mathbb{R}^n$  via the canonical embedding:

$$e = \sigma^{-1}(\tilde{e}).$$

If we change  $\sigma$  by  $\sigma_\alpha$  and choose  $e$  to be

$$e = \sigma_\alpha^{-1}(\tilde{e})$$

for some  $\tilde{e} \in \mathbb{R}^n$  we have a new version of the Ring-LWE called  **$\alpha$ -Ring-LWE**.

### Hardness proof [OAD<sup>+</sup>18]

If  $\alpha \in \mathcal{O}_{\mathbb{K}}$  is totally positive, the search version of Ring-LWE is reducible to the search version of  $\alpha$ -Ring-LWE.

## Choosing lattice parameters

# Efficiency versus security

- ▶ Encoding and decoding of cryptographic systems over LWE are usually done using the lattice  $\mathbb{Z}^k$ . Recently, [vP16] proposed change  $\mathbb{Z}^k$  by Leech lattice  $\Lambda_{24}$  and obtained an improvement of more than 10% in bandwidth. In our opinion, the use of the twisted construction can provide similar analysis for Ring-LWE based cryptographic systems.
- ▶ Attacks have been made against some instances of Ring-LWE using good properties of specific number fields. Because of this, it had been suggested to change the number fields that have been used (cyclotomic, for example) by *non Galoisian* and/or *non monogenic* number fields.

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